

11-30-00  
APPLICATION FOR U.S. PATENT  
TRANSMITTAL FORM

PATENT

Box Patent Application  
THE COMMISSIONER OF PATENTS  
AND TRADEMARKS  
Washington, D.C. 20231  
Sir:

Attorney Docket No.:  
066303.0111

Transmitted herewith for filing is the patent application of:

Inventors: A. J. Paul Carew, et al.

For: SYSTEM AND METHOD FOR COMMUNICATING  
TELECOMMUNICATION INFORMATION FROM A  
TELECOMMUNICATION NETWORK TO A BROADBAND NETWORK

X Pursuant to 37 C.F.R. § 1.213(a), Applicant(s) respectfully requests that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicant(s) hereby certifies that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Enclosed are: Specification 51 pages  
Declaration and Power of Attorney  
Assignment and Assignment Recordation Form  
Verified Statement Claiming Small Entity  
Status  
Formal Drawings 9 sheets

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee \$ 355.00
Total Claims:	57	- 20 =	37	X \$9 =	\$ 333.00
Independent Claims	5	- 3 =	2	X \$40 =	\$ 80.00
TOTAL FILING FEE =					\$ 768.00

Enclosed is a check in the amount of \$768.00. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

BAKER BOTTS L.L.P.  
Attorneys for Applicants

November 28, 2000  
Date

Jeffery D. Baxter  
Jeffery D. Baxter  
Registration No. 45,560

Applicant or Patentee: A. J. Paul Carew, et al.

Attorney's Docket No. 066303.0111

Serial or Patent No.

Filed or Issued:

Title: SYSTEM AND METHOD FOR COMMUNICATING TELECOMMUNICATION  
INFORMATION FROM A TELECOMMUNICATION NETWORK TO A  
BROADBAND NETWORK

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN**

I hereby state that I am an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: General Bandwidth Inc.  
Address of Small Business Concern: 12303-B Technology Blvd.  
Austin, Texas 78727

I hereby state that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled SYSTEM AND METHOD FOR COMMUNICATING TELECOMMUNICATION INFORMATION FROM A TELECOMMUNICATION NETWORK TO A BROADBAND NETWORK by inventors A. J. Paul Crew and Brendon W. Mills, described in the specification filed herewith.

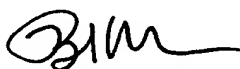
If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e):

NONE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or my maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

Name of Person Signing: Brendon W. Mills  
Title of Person if other than owner: President and CEO  
Address of Person Signing: 12303-B Technology Blvd.  
Austin, Texas 78727

Signature: \_\_\_\_\_



Date: \_\_\_\_\_

11-20-00

Attorney's Docket:  
066303.0111

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

jc930 U.S. PTO  
09/724714  
11/28/00

In re Application of: A. J. Paul Carew, et al.  
Date Filed: November 28, 2000  
Title: SYSTEM AND METHOD FOR  
COMMUNICATING TELECOMMUNICATION  
INFORMATION FROM A  
TELECOMMUNICATION NETWORK TO A  
BROADBAND NETWORK

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231  
Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application, Declaration and Power of Attorney, Assignment and Assignment Recordation Form, Verified Statement Claiming Small Entity Status, Drawings, and checks for \$768.00 and \$40.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on this 28th day of November, 2000, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Levado Hamilton

Express Mail Receipt  
No. EL501023770US